

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF GEORGIA
2211 UNITED STATES COURTHOUSE
75 TED TURNER DRIVE, S.W.
ATLANTA, GEORGIA 30303

KEVIN P. WEIMER
DISTRICT COURT EXECUTIVE
AND CLERK OF COURT

404-215-1610

October 3, 2022



NOTICE OF PROPOSED LOCAL RULE CHANGE
AND OPPORTUNITY FOR PUBLIC COMMENT

The United States District Court for the Northern District of Georgia has approved revisions to Local Rule 3.3, which governs certificates of interested persons and corporate disclosure statements, in light of revisions to Fed. R. Civ. P. 7.1 that will become effective on December 1, 2022.

A copy of the revisions (clean copy followed by red-line) is attached to this notice and available on the Court's web site at www.gand.uscourts.gov and at the clerk's office public counter in each courthouse.

The public and the bar are invited to submit written comments on these revisions until November 2, 2022. Please address all comments to:

Kevin P. Weimer
District Court Executive and Clerk of Court
2211 United States Courthouse
75 Ted Turner Drive, SW
Atlanta, Georgia 30303

LR 3.3 CERTIFICATE OF INTERESTED PERSONS AND CORPORATE DISCLOSURE STATEMENT

(A) Scope. Counsel for all private (non-governmental) parties in civil cases, including those that seek to intervene, must at the time of first appearance file a certificate containing:

(1) A complete list of the parties, including proposed intervenors, and the corporate disclosure statement required by [FRCP 7.1](#).

(2) A complete list of other persons, associations, firms, partnerships, or corporations having either a financial interest in or other interest which could be substantially affected by the outcome of the case.

(3) A complete list of each person serving as an attorney in the case.

(4) For every action in which jurisdiction is based on diversity under 28 U.S.C. § 1332(a), the citizenship of every individual or entity whose citizenship is attributed to the party or proposed intervenor on whose behalf the certificate is filed.

Where the circumstances of the case may warrant, counsel may petition the Court for permission to file the certificate *in camera* or under seal.

(B) Duties of Counsel. Each attorney has a continuing duty to notify the Court of any changes to the information reported on the certificate, including but not limited to when any later event occurs that could affect the Court's jurisdiction under 28 U.S.C. § 1332(a).

(C) Form of Certificate. The certificate must be signed and dated and substantially in the following form:

[style and number of case]
Certificate of Interested Persons and Corporate Disclosure Statement

(1) The undersigned counsel of record for a party or proposed intervenor to this action certifies that the following is a full and complete list of all parties, including

proposed intervenors, in this action, including any parent corporation and any publicly held corporation that owns 10% or more of the stock of a party or proposed intervenor:

(2) The undersigned further certifies that the following is a full and complete list of all other persons, associations, firms, partnerships, or corporations having either a financial interest in or other interest which could be substantially affected by the outcome of this case:

(3) The undersigned further certifies that the following is a full and complete list of all persons serving as attorneys for the parties, including proposed intervenors, in this case:

(4) [For every action in which jurisdiction is based on diversity under 28 U.S.C. § 1332(a).] The undersigned further certifies that the following is a full and complete list of the citizenship of every individual or entity whose citizenship is attributed to a party or proposed intervenor on whose behalf this certificate is filed:

Submitted this ____ day of _____, 20__.

Counsel for

LR 3.3 CERTIFICATE OF INTERESTED PERSONS AND CORPORATE DISCLOSURE STATEMENT

(A) **Purpose and Scope.** ~~In order to enable judges and magistrate judges of this Court to evaluate possible disqualification or recusal, e~~Counsel for all private (non-governmental) parties in civil cases, including those that seek to intervene, must at the time of first appearance file ~~with the clerk~~ a certificate containing:

(1) A complete list of the parties, including proposed intervenors, and the corporate disclosure statement required by [FRCP 7.1](#).

(2) A complete list of other persons, associations, firms, partnerships, or corporations having either a financial interest in or other interest which could be substantially affected by the outcome of the ~~is particular~~ case.

(3) A complete list of each person serving as an attorney ~~lawyer~~ in the ~~is proceeding~~ case.

(4) For every action in which jurisdiction is based on diversity under 28 U.S.C. § 1332(a), the citizenship of every individual or entity whose citizenship is attributed to the party or proposed intervenor on whose behalf the certificate is filed.

Where the ~~particular~~ circumstances of the case may warrant ~~such action~~, counsel may petition the Court for permission to file the certificates *in camera* or under seal.

(B) **Duties of Counsel.** Each attorney ~~shall have~~ has a continuing duty to notify the Court of any ~~additions to or deletions from~~ changes to the information reported on the certificate, including but not limited to when any later event occurs that could affect the Court's jurisdiction under 28 U.S.C. § 1332(a).

(C) **Form of Certificate.** The ~~form of the~~ certificate must, which shall be signed and dated and substantially in the f, shall be as following forms:

[style and number of case]

Certificate of Interested Persons and Corporate Disclosure Statement

(1) The undersigned counsel of record for a party or proposed intervenor to this action certifies that the following is a full and complete list of all parties, including proposed intervenors, in this action, including any parent -corporation and any publicly held corporation that owns 10% or more of the stock of a party or proposed intervenor:

(2) The undersigned further certifies that the following is a full and complete list of all other persons, associations, firms, partnerships, or corporations having either a financial interest in or other interest which could be substantially affected by the outcome of this ~~particular~~ case:

(3) The undersigned further certifies that the following is a full and complete list of all persons serving as attorneys for the parties, including proposed intervenors, in this ~~proceeding~~ case:

(4) [For every action in which jurisdiction is based on diversity under 28 U.S.C. § 1332(a).] The undersigned further certifies that the following is a full and complete list of the citizenship of every individual or entity whose citizenship is attributed to a party or proposed intervenor on whose behalf this certificate is filed:

Submitted this day of , 20 .

Counsel for